



# At-Sea Operations (ASO) Scope V1 Draft Scheme Management Criteria

(changes from Processing and Manufacturing Scope)

Number	Processing & Manufacturing Scope	ASO Scope V1 Draft Recommendation
B4.02	The Scheme Owner shall require that the scope of the audit includes an on-site assessment of the main site and all other pertinent off-site locations, including accommodation facilities, where provided or mandated.	The Scheme Owner shall require that the scope of the audit includes an on-site assessment of pertinent locations.
B4.06	The audit shall take place during a period when the employment site is in normal operation. Resulting variations to audit frequency shall be clearly defined and documented.	The audit shall take place during a period when on-site observation can take place, evidence can be collected and interviews can occur. Resulting variations to audit frequency shall be clearly defined and documented.
B4.07	The Scheme Owner shall clearly define the expected duration of audits and the rationale for the determination of the duration of the audit that audit firms are required to follow during the audit. The rationale shall at a minimum include the size of the workforce and should include additional criteria that will ensure the effectiveness of the audit such as the physical size of the location to audit, number of locations, nationalities of the workforce, product lines and product categories, etc.	Deleted.
B4.12	None.	The Scheme Owner shall require audit firms to have policies and procedures in place to ensure the safety, protection and security of their auditors.